



Attorney's Docket No.: W0538/7003 T-5

\$SECTOR

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Jacob Wohlstadter
Serial No.: 09/698,586
Filed: October 27, 2000
For: METHOD OF EXCHANGING SECURITIES
Art Unit: 2161

CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8(a)

The undersigned hereby certifies that this document is being placed in the United States mail with first-class postage attached, addressed to Box Missing Parts, Commissioner for Patents, Washington, D.C. 20231, on May 2, 2001.

Joan E. Meagher

Box Missing Parts
Commissioner for Patents
Washington, D.C. 20231

TRANSMITTAL LETTER

Sir:

Transmitted herewith are the following documents:

- Preliminary Amendment
 - Part 2 Notice to File Missing Parts
 - Declaration for Patent Application
 - Declaration claiming Small Entity Status (copy from priority application)
 - Petition for 2 Month Extension of Time
 - Return Postcard

If the enclosed papers are considered incomplete, the Mail Room and/or the Application Branch is respectfully requested to contact the undersigned at (617)720-3500, Boston, Massachusetts.

The Commissioner is hereby authorized to charge \$1903.00 to the account of the undersigned, Deposit Account No. 23/2825 (\$355.00 filing fee, \$828.00 claims over 20, \$520.00 for independent claims over 3, \$135.00 multiple dependent fee, \$65.00 surcharge, \$195.00 extension of time) for the filing fee. If this fee is insufficient or if additional extensions of time are necessary, the Commissioner is authorized to charge the balance to the account of the undersigned. A duplicate of this sheet is enclosed.

Respectfully submitted,

Timothy J. Oyer, Reg. No. 36,628
Wolf, Greenfield & Sacks, P.C.

WOR, Greenwich & Sons, P.
600 Atlantic Avenue
Boston, Massachusetts 02210
Tel. 617-720-3500

Docket No.: W0538/7003
Date: May 2, 2001
~~x05/03/01~~



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/698,586	10/27/2000	Jacob Wohlstadter	W0538/7003

Timothy J Oyer
Wolf Greenfield & Sacks PC
600 Atlantic Avenue
Boston, MA 02210

FORMALITIES LETTER



OC000000005649903

Date Mailed: 01/03/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).
- Total additional claim fee(s) for this application is \$8384.
 - \$7074 for 393 total claims over 20.
 - \$1040 for 13 independent claims over 3 .
 - \$270 for multiple dependent claim surcharge.
- The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.

Adjustment date:	05/17/2001	TV0111
05/09/2001	UKR0KA	00000023 232825 09698586
04 FC:204		135.00 -0P
05 FC:203		130.00 CR 690.00 -0P

- The balance due by applicant is \$ 9224.

05/17/2001 TV0111 00000017 09698586

01 FC:203 648.00 -0P

A copy of this notice MUST be returned with the reply.

Repln. Ref#: 05/17/2001 TV0111 0012324400
DAN:232825 Name/Number:09698586
FC: 704 \$185.00 CR

Customer Service Center

05/09/2001	UKR0KA	00000023 232825 09698586
02 FC:201		135.00 -0P
03 FC:202		590.00 -0P
03 FC:203		130.00 CR 690.00 -0P
04 FC:203		690.00 -0P
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